



Central Indiana Chapter

Central Indiana ISCEBS Annual Meeting Congratulations, Your Health and Retirement Plans Have Been Selected for a DOL Audit!

December 6, 2017

ERISA is one of the most cumbersome and complex laws employers must navigate, and no employer can afford to sail without a plan. The risk of being selected by the DOL for an audit has never been higher. With an increased enforcement emphasis, a world of litigation, and healthcare reform (maybe?), the DOL's activity is presenting employers with difficult compliance obligations. Avoiding a DOL audit is the ultimate goal, but if selected, plans must be prepared in order to achieve the best outcome. Learning objectives of this workshop will include:

- Understand how the DOL is organized and operates;
- Learn about the authority of the DOL under ERISA and what your fiduciary rights and obligations are;
- Recognize how and why your Retirement and/or Health plans are selected for an audit, including red flags;
- Learn about the process of the audit, what the DOL is looking for, what your obligations to provide information are, and what you can expect to occur from beginning to end;
- Get tips and best practices to make the process run as smoothly as possible

DATE: Wednesday, December 6, 2017

TIME: 5:30 Registration and Networking
6:00 - Program
7:00 - Annual Meeting
(Food and Wine Provided)

LOCATION: Cooper's Hawk
3815 East 96th Street
Indianapolis, IN 46240

COST: FREE

REGISTRATION: Please [click here](#).

RSVP: By Wednesday, November 29, 2017.

Annual Meeting:

- Reading of Minutes from December 6, 2016
- Treasurer's Report
- President's Report
- **2018 Slate of Officers:**
 - President: Paul Ashley, CEBS
 - Vice President: Shari Sylvester, CEBS
 - Secretary: Ellen Dunlap, CEBS
 - Treasurer: Craig Faulkner, CEBS
 - Past President: Doug Feltz, CEBS
 - Directors:
 - Melissa Hubler, CEBS
 - Misty Soderstrom, CEBS
 - Carey Wooton, CEBS
 - Melissa Riggs, CEBS

Speaker:



Sam Henson, CEBS
VP, Director of Legislative &
Regulatory Affairs
Lockton

In his daily role, Sam oversees ERISA compliance, DOL/IRS activities, and the legislative landscape for more than 48,000 Lockton clients and 5,600 associates. Prior to joining Lockton, Sam spent almost 10 years with the U.S. Department of Labor's Employee Benefits Security Administration, where he conducted more than 150 civil and criminal investigations of employee benefits plans, service providers, and fiduciaries. Sam also supervised enforcement efforts for benefit plans funded by prevailing wage laws under the Service Contract Act and Davis-Bacon Act. He is the 2011 recipient of the Secretary of Labor's Exception Achievement Award. Sam is the 2017 ISCEBS Governing Council Secretary/Treasurer and serves on the Policy Board of the American Benefits Council, the Board of Directors of DCIIA, and is a member of the American Bar and ASPPA. He has been a nationally featured speaker and author on numerous fiduciary topics. Sam earned his Juris Doctorate at the University of Missouri-Kansas City School of Law and holds CEBS – Fellow, RPA, GBA, and ASPPA – APM designations.



This program qualifies for one (1) CEBS continuing professional education (CPE) credit. Visit www.cebscpe.org for more information.